

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
PO. DOX 1450
Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/765,448

01/27/2004

Christian Bertin

04-09

**CONFIRMATION NO. 8578** 

James R. Williams Jameson, Seltzer, Harper & Williams 2625 Wilmington Road New Castle, PA 16105 SEP 0 7 2004

FORMALITIES LETTER

\*OC000000013557597\*

Date Mailed: 08/17/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

# **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine
  the number of claims submitted. Additional claim fees may be due once the number of claims can be
  determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

#### 09/08/2004 JBALINAN 00000033 10765448

01 FC:1001 02 FC:1051 03 FC:1053	770.00 130.00 130.00	ÖF
--	----------------------------	----

- The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) page 1 and fig 2.
- More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) page 1.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1030 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. Department of the Control number.

		respond to a collection of information unless it displays a valid GMB Control number.  Complete if Known			
FEE TRANSMITTAL		Application Number	10/765 448		
For FY 2004  Effective 10/01/2003. Patent fees are subject to annual revision.  Applicant claims small entity status. See 37 CFR 1.27		Filing Date	27 Jan 2004		
		First Named Inventor	BERTIN	_	
		Examiner Name			
		Art Unit			
TOTAL AMOUNT OF PAYMENT	(\$) 1030.00	Attorney Docket No.	04-09		

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (con	tinued)
Check Credit card Money Other None	. ADDITIONAL FEES	
Order Order	rge Entity   Small Entity	
Deposit Account:	ee Fee Fee Fee Fee Description	Tee Paid
Deposit Account	code (\$) Code (\$) 051 130 2051 65 Surcharge - late filing fee or o	
Number Deposit	052 50 2052 25 Surcharge - late provisional fi	
Account Name	cover sheet	130
The Director is authorized to: (check <u>all that apply)</u>	053 130 1053 130 Non-English specification 812 2,520 1812 2,520 For filing a request for <i>ex par</i>	
Charge fee(s) indicated below Credit any overpayments		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920* 1804 920* Requesting publication of SIF Examiner action	
Charge fee(s) indicated below, except for the filing fee	805 1,840* 1805 1,840* Requesting publication of SI	R after
to the above-identified deposit account.	Examiner action  1251 110 2251 55 Extension for reply within firs	st month
FEE CALCULATION	1252 420 2252 210 Extension for reply within se	
1. BASIC FILING FEE	253 950 2253 475 Extension for reply within thi	
Large Entity Small Entity  Fee Fee Fee Fee Fee Fee Description Fee Paid	1254 1,480 2254 740 Extension for reply within for	1
Code (\$) Code (\$)	1255 2.010 2255 1,005 Extension for reply within fifth	
1001 770 2001 385 Utility filing fee 770	1401 330 2401 165 Notice of Appeal	
1002 340 2002 170 Design filing fee	1401 330 2401 165 Rollice of Appeal	n appeal
1003 530 2003 265 Plant filing fee	1403 290 2403 145 Request for oral hearing	
	1451 1,510 1451 1,510 Petition to institute a public to	use proceeding
	1452 110 2452 55 Petition to revive - unavoida	
SUBTOTAL (1) (\$) 770	1453 1,330 2453 665 Petition to revive - unintention	onal
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)	
Fee from Ext <u>ra Claims below</u> Fee Paid	1502 480 2502 240 Design issue fee	
Total Claims 16 -20** = D X =	1503 640 2503 320 Plant issue fee	
Independent Claims X = X	1460 130 1460 130 Petitions to the Commission	ner
Multiple Dependent	1807 50 1807 50 Processing fee under 37 Cl	FR 1.17(q)
Large Entity   Small Entity	1806 180 1806 180 Submission of Information D	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021 40 8021 40 Recording each patent assignment assignment and property (times number of proper	gnment per properties)
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after fin	
1201 86 2201 43 Independent claims in excess of 3	(37 ČFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention examined (37 CFR 1.129(b)	
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Exa	"
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited exa of a design application	` '
	Other fee (specify)	
SUBTOTAL (2) (\$) 0	*Reduced by Basic Filing Fee Paid SUBTOTAL	L (3) (\$) 260
**or number previously paid, if greater; For Reissues, see above		<u>``' IV'/                                     </u>

SUBMITTED BY				(Complet	te (if applicable))
Name (Print/Type)	James R. Williams	Registration No. (Attorney/Agent)	43268	Telephon	ne 724-652-0821
Signature	Janon			Date	02 Sep 2004

WARNING: Information on this form may become public. Credit card information should not

WARNING: Information on this form may become public. Credit Card Information should not be included on this form. Provide credit card Information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

TRADENAL TRANSMITTAL FORM  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission	ns are required to respond to a collectic Application Number  Filing Date First Named Inventor Art Unit Examiner Name  Attorney Docket Number	PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number. 10/765 448 01-27-2004 BERTIN		
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Certifica  Credit Coredit Cored Coredit Cored C	ENCLOSURES (Check all that apply)  Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  After Final  After Final  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  Response to Missing Parts			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual Signature Date 02 Sep 2004  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as				
first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  Typed or printed  James R. Williams				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Signature

2 Sep 2007

Date

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor	)	BERTIN
m·.1	)	m : 0:
Title	)	Topic-Oriented Method of Recording Digital Contents
	)	Broadcast in Accordance with a Schedule
	)	
US Serial No.	)	10/765 448
	)	
Filing Date	)	27 Jan 2004
	)	
Attorney Docket No.	)	04_00

To: Mail Stop Missing Parts

Commissioner for Patents

Box 1450

Alexandria, VA 22313-1450

### CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail service on the date indicated below and is addressed to the above addressee.

02 Sept 200

DATE

724-652-0821

ŚIGNATURE

James R. Williams